

# EXHIBIT A

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008<sup>1</sup>**

**NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM**

October 19, 2009

Robert T. Schoen MD and Cynthia B. French J/T WROS  
[REDACTED]  
[REDACTED]

Dear Robert T. Schoen MD and Cynthia B. French J/T WROS:

**PLEASE READ THIS NOTICE CAREFULLY.**

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa *et seq.* ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim on BLMIS Account No. 1CM305 designated as Claim Number 010156:

Your claim for securities is **DENIED**. No securities were ever purchased for your account.

Further, based on the Trustee's analysis, the amount of money you withdrew from your account at BLMIS (total of \$175,000.00), as more fully set forth in Table 1 annexed hereto and made a part hereof, is greater than the amount that was deposited with BLMIS for the purchase of securities (total of \$130,000.00). As noted, no securities were ever purchased by BLMIS for your account. Any and all profits reported to you by BLMIS on account statements were fictitious.

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<sup>1</sup> Section 78111(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78111(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

Since there were no profits to use either to purchase securities or to pay you any money beyond the amount that was deposited into your BLMIS account, the amount of money you received in excess of the deposits in your account (\$45,000.00) was taken from other customers and given to you. Accordingly, because you have withdrawn more than was deposited into your account, you do not have a positive "net equity" in your account and you are not entitled to an allowed claim in the BLMIS liquidation proceeding. Therefore, your claim is **DENIED** in its entirety.

Should a final and unappealable court order determine that the Trustee is incorrect in his interpretation of "net equity" and its corresponding application to the determination of customer claims, the Trustee will be bound by that order and will apply it retroactively to all previously determined customer claims in accordance with the Court's order. Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by you in having your customer claim re-determined in accordance with any such Court order.

Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by the Trustee against you.

**PLEASE TAKE NOTICE:** If you disagree with this determination and desire a hearing before Bankruptcy Judge Burton R. Lifland, you **MUST** file your written opposition, setting forth the grounds for your disagreement, referencing Bankruptcy Case No. 08-1789 (BRL) and attaching copies of any documents in support of your position, with the United States Bankruptcy Court and the Trustee within **THIRTY DAYS** after October 19, 2009, the date on which the Trustee mailed this notice.

**PLEASE TAKE FURTHER NOTICE:** If you do not properly and timely file a written opposition, the Trustee's determination with respect to your claim will be deemed confirmed by the Court and binding on you.

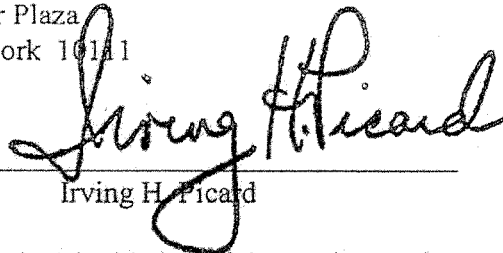
**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for  
the Southern District of New York  
One Bowling Green  
New York, New York 10004

and

Irving H. Picard, Trustee  
c/o Baker & Hostetler LLP  
45 Rockefeller Plaza  
New York, New York 10111



Irving H. Picard

Trustee for the Liquidation of the Business of  
Bernard L. Madoff Investment Securities LLC

cc: Arthur H. Ruegger, Esq.  
Sonnenschein Nath & Rosenthal LLP  
1221 Avenue of the Americas, 25<sup>th</sup> Floor  
New York, New York 10020

| - Table II -                            |                         |                |
|---|-------------------------|----------------|
| DEPOSITS                                |                         |                |
| DATE                                    | TRANSACTION DESCRIPTION | AMOUNT         |
| 1/3/1995                                | CHECK                   | \$130,000.00   |
| <b>Total Deposits:</b>                  |                         | \$130,000.00   |
| WITHDRAWALS                             |                         |                |
| DATE                                    | TRANSACTION DESCRIPTION | AMOUNT         |
| 1/29/2004                               | CHECK                   | (\$45,000.00)  |
| 8/2/2004                                | CHECK                   | (\$30,000.00)  |
| 11/16/2007                              | CHECK                   | (\$50,000.00)  |
| 6/25/2008                               | CHECK                   | (\$50,000.00)  |
| <b>Total Withdrawals:</b>               |                         | (\$175,000.00) |
| <b>Total deposits less withdrawals:</b> |                         | (\$45,000.00)  |

# **EXHIBIT B**

COPY

CUSTOMER CLAIM

Claim Number \_\_\_\_\_

Date Received **RECEIVED**

JUN 18 2009

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: (212) 768-6881

HOME: \_\_\_\_\_

Taxpayer I.D. Number (Social Security No.)  
\_\_\_\_\_

Account Number: 1-CM305-3-0 & 1-CM305-4-0

Robert T. Schoen MD and  
Cynthia B. French J/T WROS  
\_\_\_\_\_  
\_\_\_\_\_

(If incorrect, please change)

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

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1. Claim for money balances as of **December 11, 2008**:
- a. The Broker owes me a Credit (Cr.) Balance of \$ \_\_\_\_\_
- b. I owe the Broker a Debit (Dr.) Balance of \$ \_\_\_\_\_

- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, **it must be enclosed**  
with this claim form. \$ \_\_\_\_\_

- d. If balance is zero, insert "None." NONE

2. Claim for securities as of **December 11, 2008:**

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

- |   | <u>YES</u>      | <u>NO</u>       |
|---|-----------------|-----------------|
| a. The Broker owes me securities        | <u>✓</u>        | <u>        </u> |
| b. I owe the Broker securities          | <u>        </u> | <u>✓</u>        |
| c. If yes to either, please list below: |                 |                 |

|   |                         | <u>Number of Shares or<br/>Face Amount of Bonds</u> |   |
|---|-------------------------|---|---|
| <u>Date of<br/>Transaction<br/>(trade date)</u> | <u>Name of Security</u> | <u>The Broker<br/>Owes Me<br/>(Long)</u>            | <u>I Owe<br/>the Broker<br/>(Short)</u> |
| <u>SEE STATEMENT DATED NOVEMBER 30, 2008</u>    |                         | <u>✓</u>  |   |
| <u>        </u>                                 | <u>        </u>         | <u>        </u>                                     | <u>        </u>                         |
| <u>        </u>                                 | <u>        </u>         | <u>        </u>                                     | <u>        </u>                         |
| <u>        </u>                                 | <u>        </u>         | <u>        </u>                                     | <u>        </u>                         |
| <u>        </u>                                 | <u>        </u>         | <u>        </u>                                     | <u>        </u>                         |

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or



information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

- |    |  | <u>YES</u> | <u>NO</u> |
|----|--|------------|-----------|
| 3. | Has there been any change in your account since December 11, 2008? If so, please explain.  | _____      | _____✓    |
| 4. | Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?   | _____      | _____✓    |
| 5. | Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____      | _____✓    |
| 6. | Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)    | _____      | _____✓    |
| 7. | Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.                         | _____      | _____✓    |
| 8. | Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.                   | _____      | _____✓    |

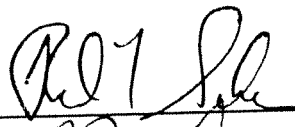

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. ✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Arthur H. Ruegger, Sonnenschein Nath & Rosenthal LLP, 1221 Avenue of the Americas, 25<sup>th</sup> Floor, New York, NY 10020.

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF. \***

Date 6/13/09 Signature   
Date 4/13/09 Signature 

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

\* This form includes and incorporates in full the attached Addendum. Customer reserves the right to amend the claim for any purpose, including without limitation, to add interest, costs and other losses associated with this account.

**ADDENDUM**

**Customer:** Robert T. Schoen MD and  
Cynthia B. French J/T WROS

**Address:** [REDACTED]  
[REDACTED]

**Account #:** 1-CM305-3-0  
1-CM305-4-0

November 30, 2008 Statement – Closing balance net equity \$529,202.00

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London, W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York □ London

ROBERT T. SCHOEN MD  
AND CYNTHIA B. FRENCH J/T WROS

PERIOD ENDING  
11/30/08  
YOUR TAX PAYER IDENTIFICATION NUMBER  
1-CH305-3-0  
PAGE  
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| DATE  | BOUGHT<br>RECEIVED OR LONG | SOLD<br>DELIVERED OR SHORT | TRN   | DESCRIPTION                  | PRICE OR SYMBOL | AMOUNT CREDITED<br>TO YOUR ACCOUNT | AMOUNT CREDITED<br>TO YOUR ACCOUNT |
|-------|----------------------------|----------------------------|-------|------------------------------|-----------------|------------------------------------|------------------------------------|
| 11/12 | 286                        |                            | 2352  | BALANCE FORWARD              |                 | 29,951.40                          |                                    |
| 11/12 | 187                        |                            | 2854  | WAL-MART STORES INC          | 55.830          | 15,978.38                          |                                    |
| 11/12 | 693                        |                            | 6678  | INTERNATIONAL BUSINESS MACHS | 87.270          | 16,326.49                          |                                    |
| 11/12 | 759                        |                            | 7180  | EXXON MOBIL CORP             | 72.880          | 50,532.84                          |                                    |
| 11/12 | 363                        |                            | 11506 | INTEL CORP                   | 14.510          | 11,043.09                          |                                    |
| 11/12 | 495                        |                            | 15832 | JOHNSON & JOHNSON            | 59.580          | 21,641.54                          |                                    |
| 11/12 | 254                        |                            | 20157 | J.P. MORGAN CHASE & CO       | 38.530          | 19,091.35                          |                                    |
| 11/12 | 154                        |                            | 24483 | COCA COLA CO                 | 44.660          | 11,800.24                          |                                    |
| 11/12 | 286                        |                            | 28809 | MCDONALDS CORP               | 55.370          | 8,532.98                           |                                    |
| 11/12 | 1,045                      |                            | 33135 | MERCK & CO                   | 28.550          | 8,176.30                           |                                    |
| 11/12 | 528                        |                            | 37461 | MICROSOFT CORP               | 21.810          | 22,832.45                          |                                    |
| 11/12 | 209                        |                            | 50439 | ORACLE CORPORATION           | 17.300          | 9,155.40                           |                                    |
| 11/12 | 121                        |                            | 50941 | PEPSICO INC                  | 56.410          | 11,797.69                          |                                    |
| 11/12 | 891                        |                            | 54765 | APPLE INC                    | 100.780         | 12,198.38                          |                                    |
| 11/12 | 209                        |                            | 55267 | PFIZER INC                   | 16.940          | 15,128.54                          |                                    |
| 11/12 | 396                        |                            | 59091 | ABBOTT LABORATORIES          | 54.610          | 11,421.49                          |                                    |
| 11/12 | 143                        |                            | 59593 | PROCTER & GAMBLE CO          | 64.080          | 25,390.68                          |                                    |
| 11/12 | 275                        |                            | 63417 | AMGEN INC                    | 59.160          | 8,464.88                           |                                    |
| 11/12 | 660                        |                            | 63919 | PHILLIP MORRIS INTERNATIONAL | 43.600          | 12,001.00                          |                                    |
| 11/12 | 220                        |                            | 67743 | BANK OF AMERICA              | 21.590          | 14,275.40                          |                                    |
| 11/12 | 715                        |                            | 68245 | QUALCOMM INC                 | 33.770          | 7,437.40                           |                                    |
| 11/12 | 165                        |                            | 72069 | CITI GROUP INC               | 12.510          | 8,972.65                           |                                    |
| 11/12 | 396                        |                            | 72571 | SCHLUMBERGER LTD             | 49.480          | 8,170.20                           |                                    |
| 11/12 |                            |                            |       | CONCAST CORP                 | 16.510          | 6,552.96                           |                                    |
| 11/12 |                            |                            |       | CL A                         |                 |                                    |                                    |
|       |                            |                            |       | CONTINUED ON PAGE 2          |                 |                                    |                                    |

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

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ARNOLD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York O London

ROBERT T. SCHOEN MD  
AND CYNTHIA B. FRENCH J/T WROS

YOUR ACCOUNT NUMBER  
1-CH305-3-0

PERIOD ENDING  
11/30/03

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YOUR TAX PAYER IDENTIFICATION NUMBER  
[REDACTED]

| BOUGHT<br>RECEIVED ON LONG                                   | SOLD<br>DELIVERED ON SHORT | TIN  | DESCRIPTION   | PRICE OR SYMBOL   | AMOUNT DEBITED<br>TO YOUR ACCOUNT   | AMOUNT CREDITED<br>TO YOUR ACCOUNT |
|--|----------------------------|--|---|---|---|------------------------------------|
| 781<br>198<br>132  |                            | 76395<br>76897<br>80721  | AT&T INC<br>CONOCOPHILIPS<br>UNITED PARCEL SVC INC<br>CLASS B   | 27<br>52.510<br>52.040  | 21,118.00<br>10,403.98<br>6,874.28  |                                    |
| 803<br>231<br>275<br>132<br>1,397<br>374<br>33<br>462<br>330 |                            | 81223<br>85047<br>85549<br>89373<br>89875<br>93699<br>94201<br>98025<br>98527<br>20638 | CISCO SYSTEMS INC<br>U S BANCORP<br>CHEVRON CORP<br>UNITED TECHNOLOGIES CORP<br>GENERAL ELECTRIC CO<br>VERIZON COMMUNICATIONS<br>GOOGLE<br>WELLS FARGO & CO NEW<br>HEWLETT PACKARD CO<br>U S TREASURY BILL<br>DUE 2/12/2009 | 16.730<br>29.530<br>73.430<br>53.160<br>19.630<br>30.410<br>337.400<br>29.800<br>34.900<br>99.936 | 13,466.19<br>6,830.43<br>20,204.25<br>7,022.12<br>27,478.11<br>11,387.34<br>11,135.20<br>13,785.60<br>11,530.00 | 449,712.00                         |
|  | 450,000                    |  | FIDELITY SPARTAN<br>U S TREASURY MONEY MARKET<br>DIV 11/12/08   | DIV   |   | 83.97                              |
|  | 69,644                     | 15736  | FIDELITY SPARTAN<br>U S TREASURY MONEY MARKET<br>DIV 11/12/08   | 1   | 19,060.00   | 69,644.00                          |
| 19,060   |                            | 25105  | FIDELITY SPARTAN<br>U S TREASURY MONEY MARKET<br>DIV 11/19/08   | 1   |   | 2.34                               |
|  |                            |  | CONTINUED ON PAGE 3   |   |   |                                    |

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YOUR ACCOUNT NUMBER  
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|----------------------------|----------------------------|-------|--|-----------------|-----------------------------------|------------------------------------|
| 19                         | 19,060                     | 50361 | FIDELITY SPARTAN   | 1               |                                   | 19,060.00                          |
| 1/19                       | 50,000                     | 54997 | U S TREASURY MONEY MARKET  | 99.926          | 49,963.00                         |                                    |
| 11/19                      | 2,056                      | 59401 | U S TREASURY BILL<br>DUE 03/26/2009<br>3/26/2009<br>FIDELITY SPARTAN | 1               | 2,056.00                          |                                    |
|                            |                            |       | U S TREASURY MONEY MARKET  |                 | 60,685.92                         |                                    |
|                            |                            |       | NEW BALANCE  |                 |                                   |                                    |
|                            |                            |       | SECURITY POSITIONS   | MKT PRICE       |                                   |                                    |
|                            |                            |       | AT&T INC   | 28.560          |                                   |                                    |
|                            |                            |       | ABBOTT LABORATORIES  | 52.390          |                                   |                                    |
|                            |                            |       | AMGEN INC  | 55.540          |                                   |                                    |
|                            |                            |       | APPLE INC  | 92.670          |                                   |                                    |
|                            |                            |       | BANK OF AMERICA  | 16.250          |                                   |                                    |
|                            |                            |       | CHEVRON CORP   | 79.010          |                                   |                                    |
|                            |                            |       | CISCO SYSTEMS INC  | 16.540          |                                   |                                    |
|                            |                            |       | CITI GROUP INC   | 8.290           |                                   |                                    |
|                            |                            |       | COCA COLA CO   | 46.870          |                                   |                                    |
|                            |                            |       | COMCAST CORP   | 17.340          |                                   |                                    |
|                            |                            |       | CL A   |                 |                                   |                                    |
|                            |                            |       | CONDOPHILIPS   | 52.520          |                                   |                                    |
|                            |                            |       | EXXON MOBIL CORP   | 80.150          |                                   |                                    |
|                            |                            |       | GENERAL ELECTRIC CO  | 17.170          |                                   |                                    |
|                            |                            |       | CONTINUED ON PAGE 4  |                 |                                   |                                    |

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

IN THIS STATEMENT FOR INCOME TAX PURPOSES

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ARNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York □ London

ROBERT T. SCHDEN MD  
AND CYNTHIA B. FRENCH J/T WROS

PERIOD ENDING 11/30/08  
YOUR ACCOUNT NUMBER 1-CM305-3-0  
YOUR TAX PAYER IDENTIFICATION NUMBER [REDACTED]  
PAGE 4

| QUANTITY<br>RECEIVED OR LONG | SOLD<br>DELIVERED OR SHORT | TIN | DESCRIPTION                  | PRICE OR SYMBOL | AMOUNT DEBITED<br>TO YOUR ACCOUNT | AMOUNT CREDITED<br>TO YOUR ACCOUNT |
|------------------------------|----------------------------|-----|------------------------------|-----------------|-----------------------------------|------------------------------------|
| 33                           |                            |     | GOOGLE                       | 292.960         |                                   |                                    |
| 330                          |                            |     | HEWLETT PACKARD CO           | 35.280          |                                   |                                    |
| 759                          |                            |     | INTEL CORP                   | 13.800          |                                   |                                    |
| 187                          |                            |     | INTERNATIONAL BUSINESS MACHS | 81.600          |                                   |                                    |
| 495                          |                            |     | J.P. MORGAN CHASE & CO       | 31.660          |                                   |                                    |
| 363                          |                            |     | JOHNSON & JOHNSON            | 58.580          |                                   |                                    |
| 154                          |                            |     | MCDONALDS CORP               | 58.750          |                                   |                                    |
| 286                          |                            |     | MERCK & CO                   | 26.720          |                                   |                                    |
| 1,045                        |                            |     | MICROSOFT CORP               | 20.220          |                                   |                                    |
| 528                          |                            |     | ORACLE CORPORATION           | 16.090          |                                   |                                    |
| 209                          |                            |     | PEPSICO INC                  | 56.700          |                                   |                                    |
| 091                          |                            |     | PFIZER INC                   | 16.430          |                                   |                                    |
| 275                          |                            |     | PHILLIP MORRIS INTERNATIONAL | 42.160          |                                   |                                    |
| 396                          |                            |     | PROCTER & GAMBLE CO          | 64.350          |                                   |                                    |
| 220                          |                            |     | QUALCOMM INC                 | 33.570          |                                   |                                    |
| 165                          |                            |     | SCHLUMBERGER LTD             | 50.740          |                                   |                                    |
| 2,056                        |                            |     | FIDELITY SPARTAN             | 1               |                                   |                                    |
| 231                          |                            |     | U.S. TREASURY MONEY MARKET   | 26.980          |                                   |                                    |
| 132                          |                            |     | U.S. BANCORP                 | 57.600          |                                   |                                    |
| 50,000                       |                            |     | UNITED PARCEL SVC INC        | 99.971          |                                   |                                    |
| 132                          |                            |     | CLASS B                      | 48.530          |                                   |                                    |
|                              |                            |     | U.S. TREASURY BILL           |                 |                                   |                                    |
|                              |                            |     | DUE 03/26/2009               |                 |                                   |                                    |
|                              |                            |     | 3/26/2009                    |                 |                                   |                                    |
|                              |                            |     | UNITED TECHNOLOGIES CORP     |                 |                                   |                                    |
|                              |                            |     | CONTINUED ON PAGE 5          |                 |                                   |                                    |

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

11/30/88  
FBI/DOJ TRAINING

PAGE 5

YOUR ACCOUNT NUMBER  
1-CN305-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
[REDACTED]

**ARNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

ROBERT T SCHOEN MD  
US CYNTIA B FRENCH J/T WROS

| BUGHT<br>RECEIVED ON LONG |  | SOLD<br>DELIVERED OR SHORT |  | TRN | DESCRIPTION                | PRICE OR SYMBOL | AMOUNT DEBITED<br>TO YOUR ACCOUNT | AMOUNT CREDITED<br>TO YOUR ACCOUNT |
|---------------------------|--|----------------------------|--|-----|----------------------------|-----------------|-----------------------------------|------------------------------------|
| 374                       |  |                            |  |     | VERIZON COMMUNICATIONS     | 32.650          |                                   |                                    |
| 286                       |  |                            |  |     | WAL-MART STORES INC        | 55.880          |                                   |                                    |
| 462                       |  |                            |  |     | WELLS FARGO & CO NEW       | 28.890          |                                   |                                    |
|                           |  |                            |  |     | MARKET VALUE OF SECURITIES |                 |                                   |                                    |
|                           |  |                            |  |     | SHORT                      |                 |                                   |                                    |
|                           |  |                            |  |     | 536,682.69                 |                 |                                   |                                    |

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## END



TAKE THIS STATEMENT FOR INCOME TAX PURPOSES

Affiliated with  
Maddoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

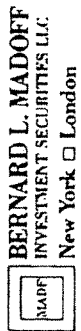
ARNOLD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York □ London

ROBERT T. SCHDEN MD  
AND CYNTHIA B. FRENCH J/T WROS

PERIOD ENDING 11/30/08  
PAGE 6  
YOUR ACCOUNT NUMBER 1-CM305-3-0  
YOUR TAX PAYER IDENTIFICATION NUMBER [REDACTED]

| BOUGHT<br>RECEIVED OR LONG | SOLD<br>DELIVERED OR SHORT | TRN | DESCRIPTION  | PRICE OR SYMBOL | AMOUNT DEBITED<br>TO YOUR ACCOUNT | AMOUNT CREDITED<br>TO YOUR ACCOUNT |
|----------------------------|----------------------------|-----|--|-----------------|-----------------------------------|------------------------------------|
|                            |                            |     | YEAR-TO-DATE SUMMARY<br>DIVIDENDS<br>GROSS PROCEEDS FROM SALES |                 |                                   | 3,751.92<br>3,036,527.47           |

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ROBERT T SCHOEN MD  
AND CYNTHIA B FRENCH J/T WROS

PAGE  
1

11/30/08

YOUR ACCOUNT NUMBER  
1-CM305-4-0

YOUR TAX PAYER IDENTIFICATION NUMBER

| DATE  | BOUGHT<br>RECEIVED OR LONG | SOLD<br>DELIVERED OR SHORT | TRN   | DESCRIPTION                          | PRICE OR SYMBOL     | AMOUNT DEBITED<br>TO YOUR ACCOUNT | AMOUNT CREDITED<br>TO YOUR ACCOUNT |
|-------|----------------------------|----------------------------|-------|--------------------------------------|---------------------|-----------------------------------|------------------------------------|
| 11/12 |                            |                            |       | BALANCE FORWARD                      |                     |                                   | 29,952.00                          |
| 11/12 | 11                         |                            | 41787 | S & P 100 INDEX<br>NOVEMBER 460 CALL | 15.800              |                                   | 17,369.00                          |
| 11/19 |                            | 11                         | 46113 | S & P 100 INDEX<br>NOVEMBER 450 PUT  | 17.800              | 19,591.00                         |                                    |
| 11/19 |                            | 11                         | 32218 | S & P 100 INDEX<br>DECEMBER 430 CALL | 26                  |                                   | 28,589.00                          |
| 11/19 | 11                         |                            | 36543 | S & P 100 INDEX<br>DECEMBER 420 PUT  | 30                  | 33,011.00                         |                                    |
| 11/19 | 11                         |                            | 40868 | S & P 100 INDEX<br>NOVEMBER 460 CALL | 3                   | 3,311.00                          |                                    |
| 11/19 |                            | 11                         | 45193 | S & P 100 INDEX<br>NOVEMBER 450 PUT  | 37                  |                                   | 40,689.00                          |
|       |                            |                            |       | NEW BALANCE                          |                     |                                   | 60,686.00                          |
|       |                            |                            |       | SECURITY POSITIONS                   |                     |                                   |                                    |
|       |                            | 11                         |       | S & P 100 INDEX<br>DECEMBER 430 CALL | MKT PRICE<br>23.300 |                                   |                                    |
|       | 11                         |                            |       | S & P 100 INDEX<br>DECEMBER 420 PUT  | 16.500              |                                   |                                    |
|       |                            |                            |       | MARKET VALUE OF SECURITIES           |                     |                                   |                                    |
|       |                            |                            |       | LONG                                 |                     |                                   | 18,150.00                          |
|       |                            |                            |       | SHORT                                |                     |                                   | 25,630.00-                         |

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